



3762

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Wang et al.

Serial No.: 10/075,485

Examiner: Unknown

Filed: February 12, 2002

Group Art Unit: 3762

For: EMBOLIC PROTECTION DEVICE

Docket No.: 1001.1476101

**TRANSMITTAL SHEET**

Assistant Commissioner for Patents  
Washington, D.C. 20231

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Sir:

<p><b>CERTIFICATE UNDER 37 C.F.R. 1.8:</b> I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on this <u>25<sup>th</sup></u> day of <u>June</u>, 2002.</p> <p>By: <u>[Signature]</u> Glenn M. Seager</p>
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We are transmitting herewith the attached:

- ☒ Information Disclosure Statement.
- ☒ Form 1449 with Two Hundred and Fifty-Six (256) references.
- ☒ Postcard receipt.
- ☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: [Signature]  
Glenn M. Seager, Reg. No. 36,926

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PATENT

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Serial No.: 10/075,485

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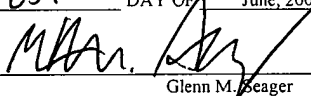
Group Art Unit: 3762

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Docket No.: 1001.1476101

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

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25th DAY OF June, 2002  
  
Glenn M. Seager

Dear Sirs:

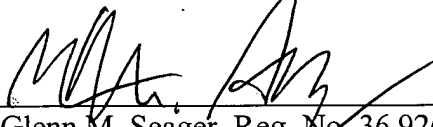
INFORMATION DISCLOSURE STATEMENT

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56,  
the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references  
establishes, by itself or in combination with other information, a prima facie case of  
unpatentability of any claim of the present case.

Respectfully submitted,

Dated: June 25, 2002

  
Glenn M. Seager, Reg. No. 36,926  
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FORM 1449\*

INFORMATION DISCLOSURE STATEMENT  
IN AN APPLICATIONDocket Number:  
1001.1476101Application Number:  
10/075,485

Applicant: Wang et al.

Filing Date: February 12, 2002

Group Art Unit: 3762

(Use several sheets if necessary)

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Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
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	6,309,399	10/30/01	Barbut et al.		
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FORM 1449



**INFORMATION DISCLOSURE STATEMENT  
IN AN APPLICATION**

(Use several sheets if necessary)

Docket Number:  
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Group Art Unit: 3762

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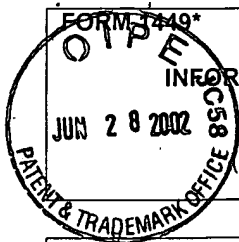
Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
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<b>FORM 1449*</b> <b>INFORMATION DISCLOSURE STATEMENT</b> <b>IN AN APPLICATION</b>  (Use several sheets if necessary)	Docket Number: 1001.1476101	Application Number: 10/075,485
	Applicant: Wang et al.	
	Filing Date: February 12, 2002	Group Art Unit: 3762

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FORM 1449\*

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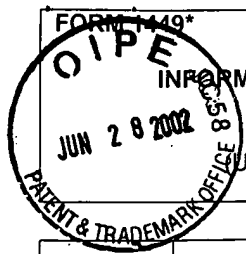
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Use several sheets if necessary)		Applicant: Wang et al.	
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**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

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	<b>Cragg, Andrew et al., "Nonsurgical Placement of Arterial Endoprosthesis: A New Technique</b> <b>Using Nitinol Wire,"</b> <u>AJR, pp. 261-263 (April 1983)</u>
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	<b>Fadali, A. Moneim, "A filtering device for the prevention of particulate embolization during</b> <b>the course of cardiac surgery,"</b> <u>Surgery, 64(3):634-639 (September 1968)</u>
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				Applicant: Wang et al.
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